



140 CO HWY 33W | BOX 4 | COOPERSTOWN, NY 13326 | 607-547-8839

www.otsegoruralhousing.org

REQUEST FOR TENANCY APPROVAL PACKET

Thank you for your interest in the Otsego Rural Housing Assistance (ORHA) Housing Choice Voucher Program!

Every time a family with a voucher moves into a rental unit, the unit must be approved **prior** to tenancy. Your prompt submission of all required information to ORHA by mail to the address listed at the top of this page will help the process go smoothly and efficiently. Missing documents and/or incomplete information will delay the process.

Required Documentation (Must complete/sign and return to ORHA)

- □ Request for Tenancy Approval, including Rent Reasonable Addendum (attached)
- Disclosure of Information on Lead-Based Paint (attached)
- □ Owner Obligations Form (attached)
- □ Statement Regarding Restrictions on Leasing to Relatives (attached)

For owners new to the ORHA HCV program

- □ Owner/Agent Data Form (attached)
- Copy of valid driver's license or state identification card
- □ Management Agreement (if applicable)
- Direct Deposit Authorization form (attached)
 - Include a voided check (checking account) or a deposit slip (savings account)
- □ IRS form W-9 (attached)
- Tax identification
 - For an *individual* a copy of your Social Security card
 - For a company or business a copy of an IRS Employer Identification Number (EIN) verification letter (Letter 147C)
- □ Proof of Ownership and other applicable documents as listed on the Owner/Agent Data Form

What's next?

- 1. ORHA will determine if the unit is the right size and lease amount for the family and that the rent is comparable with other similar units in the area.
- 2. An inspection will be scheduled for compliance with Housing Quality Standards (HQS).
- 3. Once the unit passes HQS inspection, ORHA will prepare the lease and a Housing Assistance Payment (HAP) Contract with the owner/agent. These will be mailed to you for signatures.
- 4. Please submit a copy of the signed lease and the signed HAP Contract by mailing them back to us in the provided envelope. The lease cannot begin before the unit passes HQS inspection. Rental assistance will begin on the effective date of the HAP Contract and lease (which must start on the same day).

If the family moves in before the unit is approved, the family will be responsible for all rent payments until HCV rental assistance begins. Please call our office at (607) 547-8839 with any questions.

Note: Tenant screening and approval are the owner/agent's responsibility. Have you screened your potential tenant? 🗆 Yes 🗆 No

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Request for Tenancy Approval

Housing Choice Voucher Program

When the participant selects a unit, the owner of the unit completes this form to provide the PHA with information about the unit. The information is used to determine if the unit is eligible for rental assistance.

1. Name of Public Housing Agency (PHA)				2. Address of Unit	t (street ac	ddress, unit #,	city, state, zip code)		
3.Requested Lease Star Date	t	4.Number	of Bedrooms	5.Yea	ar Constructed	6.Proposed Rent	7.Security Amt	/ Deposit 8	Date Unit Available for Inspection
9.Structure Type						10. If this unit is	s subsidiz	ed, indicate 1	type of subsidy:
Single Family De	tached	(one fami	ly under one i	roof)		Section 202	2 🗖 s	ection 221(d)(3)(BMIR)
Semi-Detached (duplex,	attached	on one side)			Tax Credit HOME			
Rowhouse/Town	house (attached	on two sides)			Section 236	6 (insured	l or uninsured	d)
Low-rise apartme	ent buil	ding (4 sto	ories or fewer))		Section 515	5 Rural De	evelopment	
High-rise apartm						Other (Desc or local sub		r Subsidy, in	cluding any state
L Manufactured He 11. Utilities and App The owner shall prov for the utilities/appl utilities and provide	pliance vide or iances	s pay for tl indicated	he utilities/a d below by a	" T ".	Unless otherw	-			
Item		y fuel type		111010					Paid by
Heating	🗖 Na	tural gas	Bottled g	gas	Electric	Heat Pump	🔲 Oil	Other	
Cooking	🗖 Na	tural gas	Bottled §	gas	Electric			Other	
Water Heating	🗖 Na	tural gas	Bottled g	gas	Electric		🔲 Oil	Other	
Other Electric									
Water									
Sewer									
Trash Collection									L
Air Conditioning									L
Other (specify)									
									Provided by
Refrigerator									
Range/Microwave									

12. Owner's Certifications

a. The program regulation requires the PHA to certify that the rent charged to the housing choice voucher tenant is not more than the rent charged for other unassisted comparable units. Owners of projects with more than 4 units must complete the following section for most recently leased comparable unassisted units within the premises.

Address and unit number	Date Rented	Rental Amount
1.		
2.		
3.		

b. The owner (including a principal or other interested party) is not the parent, child, grandparent, grandchild, sister or brother of any member of the family, unless the PHA has determined (and has notified the owner and the family of such determination) that approving leasing of the unit, notwithstanding such relationship, would provide reasonable accommodation for a family member who is a person with disabilities.

- c. Check one of the following:
- Lead-based paint disclosure requirements do not apply because this property was built on or after January 1, 1978.

☐ The unit, common areas servicing the unit, and exterior painted surfaces associated with such unit or common areas have been found to be lead-based paint free by a lead-based paint inspector certified under the Federal certification program or under a federally accredited State certification program.

A completed statement is attached containing disclosure of known information on lead-based paint and/or lead-based paint hazards in the unit, common areas or exterior painted surfaces, including a statement that the owner has provided the lead hazard information pamphlet to the family.

13. The PHA has not screened the family's behavior or suitability for tenancy. Such screening is the owner's responsibility.

14. The owner's lease must include word-for-word all provisions of the HUD tenancy addendum.

15. The PHA will arrange for inspection of the unit and will notify the owner and family if the unit is not approved.

OMB Burden Statement: The public reporting burden for this information collection is estimated to be 0.5 hours, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Collection of information about the unit features, owner name, and tenant name is voluntary. The information sets provides the PHA with information required to approve tenancy. Assurances of confidentiality are not provided under this collection. Send comments regarding this burden estimate or any other aspect of this collection of information, including suggestions to reduce this burden, to the Office of Public and Indian Housing, US. Department of Housing and Urban Development, Washington, DC 20410. HUD may not conduct and sponsor, and a person is not required to respond to, a collection of information unless the collection displays a valid control number.

Privacy Notice: The Department of Housing and Urban Development (HUD) is authorized to collect the information required on this form by 24 CFR 982.302. The form provides the PHA with information required to approve tenancy. The Personally Identifiable Information (PII) data collected on this form are not stored or retrieved within a system of record.

I/We, the undersigned, certify under penalty of perjury that the information provided above is true and correct. WARNING: Anyone who knowingly submits a false claim or makes a false statement is subject to criminal and/or civil penalties, including confinement for up to 5 years, fines, and civil and administrative penalties. (18 U.S.C. §§ 287, 1001, 1010, 1012; 31 U.S.C. §3729, 3802).

Print or Type Name of Owner/Owner Re	presentative	Print or Type Name of Household Head	
Owner/Owner Representative Signature	9	Head of Household Signature	
Business Address		Present Address	
Telephone Number	Date (mm/dd/yyyy)	Telephone Number	Date (mm/dd/yyyy)

REQUEST FOR TENANCY APPROVAL – RENT REASONABLENESS ADDENDUM

Participant Name			
Unit Address			
Owner Name	C	Date	

Check one for each section

Type of Unit		Square Footage	
Single Family Detached	High Rise with Elevator	□ 500 or less	口 1001-1250
□ Low Rise	Row House/Townhouse	D 501-750	D 1251-1500
Semi-Detached	Manufactured Home	口 751-1000	1501 or more
Location			
Residential	Commercial	Industrial/Residential	Industrial/Commercial

Check all that apply

Accessibility to Services				
Shopping	□ School	Train/Bus/Ferry	Daycare	
Management & Mai	intenance of Building			
On Site Maintenance	Trash Removal Snow Rem	oval 🛛 Security Guard	Owner/Super Lives in the Building	
Facilities for the Bu	uilding	Amenities Provided b	y Owner	
Intercom	Good Building Exterior	New Stove	Separate Dining Room	
Security System	Swimming Pool	New Refrigerator	Washer/Dryer Hookup	
Cable TV Hookup	Large Yard	Microwave Oven	Clothes Washer	
Laundry Facilities	Playground	New Kitchen Cabinets	Clothes Dryer	
Community Room	Driveway	Dishwasher	Pvt. Patio Deck/Balcony	
Recreational Facility	Free Parking Facility	Eat-in-Kitchen	New Windows	
Handicap Access	Paid Parking Facility	Storage Room	Window Screens	
Garage		Den/Family Room	New Carpet	
		Extra Full Bath	New Closet Doors	
		Extra Half Bath	Central A/C	

To be Completed by Case Manager

□ Assisted	Utility Allowance	Case Manager
Unassisted	\$	

To be Completed by Inspection Unit

HQS		Inspector		Date	
□ Minimum □ Average □ Above Average					
Quality			Unit #	Entered By	
🗖 Fair	Good	Excellent			

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OWNER/AGENT DATA FORM

If you are a participating landlord with ORHA's HCV Program you do not need to return this form.

Owner Legal Name					
Owner Legal Address					
Telephone		Email			
Social Security Number/ En Identification Number	nployer	Individual that will receive 10	-		
Managing Agent		Telephone			
Address					
I am claiming EXEMPT state	I am claiming EXEMPT status from backup withholding (If yes, you must fill in Part II of the W-9 form.) Yes No				

I certify that I am the present owner of the property identified above.

I certify that all of the information I have provided in this packet is true to the best of my knowledge.

Property Owner(s) or Manager(s) Signature(s)	Date

Documentation Requirements (please attach the following if applicable)

LLC	A letter stating name(s) of member(s) on LLC letterhead
LP	A letter stating name(s) of general partner(s) on LP letterhead
Corporation	A letter signed by the corporate officer on corporate letterhead with corporate seal, providing:
(Corporation	1) Name of the corporate President
and LTD)	2) Name of another corporate officer who will sign leases and contracts
	3) If leases and contracts are being executed by anyone other than a corporate officer, authorization for this person
	or entity to execute leases and contracts on behalf of the corporation.
Trust	A copy of the trust deed and a Rider to the Request of Tenancy Approval form signed by all trustees and the tenant.
	The copy of the trust deed must have the full disclosure of all beneficiaries.

Acceptable Proof of Ownership	Unacceptable Proof of Ownership
Settlement statement	Tax bill
Trust agreement	Mortgage documents
Recorded deed with Schedule A	Unrecorded deeds
Recorded quit claim	Deeds that do not include an official stamp from the county recorder's office on
Recorded judicial sale deed	the upper right-hand corner of the document

Warning: Section 1001 of Title 18, of the U.S. Code makes it a criminal offense to make willful false statements or misrepresentations to any Department of Agency of the U.S. as to any matter within its Jurisdiction.

► Go to www.irs.gov/FormW9 for instructions and the latest information.

	2 Business name/disregarded entity name, if different from above		
Print or type. See Specific Instructions on page 3.	 3 Check appropriate box for federal tax classification of the person whose name is entered on line 1. Che following seven boxes. Individual/sole proprietor or C Corporation S Corporation Partnership single-member LLC 	4 Exemptions (codes apply only to certain entities, not individuals; see instructions on page 3): Exempt payee code (if any)	
	 Limited liability company. Enter the tax classification (C=C corporation, S=S corporation, P=Partner Note: Check the appropriate box in the line above for the tax classification of the single-member ov LLC if the LLC is classified as a single-member LLC that is disregarded from the owner unless the c another LLC that is not disregarded from the owner for U.S. federal tax purposes. Otherwise, a single is disregarded from the owner should check the appropriate box for the tax classification of its owner Other (see instructions) ► 	Exemption from FATCA reporting code (if any) (Applies to accounts maintained outside the U.S.)	
	5 Address (number, street, and apt. or suite no.) See instructions.	Requester's name a	nd address (optional)
	6 City, state, and ZIP code		
	7 List account number(s) here (optional)		
Par	t I Taxpayer Identification Number (TIN)		
backu reside	your TIN in the appropriate box. The TIN provided must match the name given on line 1 to ave p withholding. For individuals, this is generally your social security number (SSN). However, for nt alien, sole proprietor, or disregarded entity, see the instructions for Part I, later. For other sit is your employer identification number (EIN) If you do not have a number see How to de	or a	eurity number

Note: If the account is in more than one name, see the instructions for line 1. Also see What Name and
Number To Give the Requester for guidelines on whose number to enter.

Certification Part II

Under penalties of perjury, I certify that:

- 1. The number shown on this form is my correct taxpayer identification number (or I am waiting for a number to be issued to me); and
- 2. I am not subject to backup withholding because: (a) I am exempt from backup withholding, or (b) I have not been notified by the Internal Revenue Service (IRS) that I am subject to backup withholding as a result of a failure to report all interest or dividends, or (c) the IRS has notified me that I am no longer subject to backup withholding; and
- 3. I am a U.S. citizen or other U.S. person (defined below); and
- 4. The FATCA code(s) entered on this form (if any) indicating that I am exempt from FATCA reporting is correct.

Certification instructions. You must cross out item 2 above if you have been notified by the IRS that you are currently subject to backup withholding because you have failed to report all interest and dividends on your tax return. For real estate transactions, item 2 does not apply. For mortgage interest paid, acquisition or abandonment of secured property, cancellation of debt, contributions to an individual retirement arrangement (IRA), and generally, payments other than interest and dividends, you are not required to sign the certification, but you must provide your correct TIN. See the instructions for Part II, later.

Sign	Signature of		
Here	U.S. person >		

TIN. later.

General Instructions

Section references are to the Internal Revenue Code unless otherwise noted.

Future developments. For the latest information about developments related to Form W-9 and its instructions, such as legislation enacted after they were published, go to www.irs.gov/FormW9.

Purpose of Form

An individual or entity (Form W-9 requester) who is required to file an information return with the IRS must obtain your correct taxpayer identification number (TIN) which may be your social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN), to report on an information return the amount paid to you, or other amount reportable on an information return. Examples of information returns include, but are not limited to, the following.

· Form 1099-INT (interest earned or paid)

- Form 1099-DIV (dividends, including those from stocks or mutual funds)
- Form 1099-MISC (various types of income, prizes, awards, or gross proceeds)
- Form 1099-B (stock or mutual fund sales and certain other transactions by brokers)

or

Employer identification number

• Form 1099-S (proceeds from real estate transactions)

Date 🕨

- Form 1099-K (merchant card and third party network transactions)
- Form 1098 (home mortgage interest), 1098-E (student loan interest),
- 1098-T (tuition)
- Form 1099-C (canceled debt)
- Form 1099-A (acquisition or abandonment of secured property)
- Use Form W-9 only if you are a U.S. person (including a resident alien), to provide your correct TIN.

If you do not return Form W-9 to the requester with a TIN, you might be subject to backup withholding. See What is backup withholding, later.

DIRECT DEPOSIT AUTHORIZATION

Please complete and return this form with a voided check to:

Otsego Rural Housing Assistance, Inc. **Housing Choice Voucher Program** 140 County Hwy 33W, Box 4



Cooperstown, NY 13326

Please make sure that all information on this form is legible.

Part 1: Transaction Type

□ New Setup □ Cancellation (Leave Part 4 Blank) □ Change Financial Institution □ Change Account Number

Part 2: Owner & Payee Identification

Owner Legal Nar	ne				
Owner/Company (Social Security No.	Tax ID or Employer Identification No.)			Landlord ID# (if assigned)	
Name of Payee			Contact Name		
Fax Number		Primary Phone Number		Secondary Phone Number	
Street Address					
Payee Email				Owner Prope	rty Manager/Agent

WARNING: Federal law prohibits HTFC from processing international ACH transactions (IAT). If any payment to you from HTFC will result in an IAT under the National Automated Clearing House Association's operating rules or if you are unsure if the rules apply to you, DO NOT COMPLETE THIS FORM.

Please initial in the box to the right to indicate that you have read the above warning. If you fail to initial here, direct deposit will not be approved.

Part 3: Authorization for Setup, Changes, or Cancellation

I hereby request and authorize Otsego Rural Housing Assistance, Inc. and/or the New York State Housing Trust Fund Corporation to deposit payments by electronic funds transfer into the account specified below, and if necessary, debit entries and adjustments for any amounts deposited electronically in error. I recognize that if I fail to provide complete and accurate information on this authorization form, the processing of the form may be delayed or my payments may be erroneously transferred electronically.

This authorization will remain in effect until written notice to terminate is received. The undersigned must allow reasonable amount of time for initiating or terminating direct deposit and is responsible for notification of any change in financial institution information.

Authorized Signature	Title	Date

Part 4: Required Information

Financial Institution	□ Individual/Consumer
Account Name	□ Commercial (Corporation/Partnership/etc.)
Bank Routing Number	
Account Number	

Payment remittances can be viewed at: https://apps.hcr.ny.gov/Section8Payments/default.aspx Please include a voided check with this form.

In reference to (any tenant of landlord)	НОН	Log	СМ

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OWNER OBLIGATIONS

THE OWNER OF YOUR UNIT IS RESPONSIBLE FOR:

- Maintaining the unit in accordance with our standards and providing normal maintenance.
- Providing information required by our office.
- Collecting any security deposit and your share of the rent from you. They must not collect a larger rent payment from you than what the lease and HAP Contract specify and must immediately return any excess rent payment to you.
- Collecting any charges for damage caused by you, a member of your family, or your guest.
- Enforcing your obligations under the lease.
- Paying for utilities and services unless paid by you under the lease.

THE OWNER OF YOUR UNIT MUST NOT:

- Violate the Fair Housing Act, Federal Equal Housing Opportunity requirements, New York State Real Property Law, or their obligations under the Housing Assistance Payments Contract.
- Commit fraud, bribery, or any other corrupt or criminal act in connection with a Federal Housing Program.
- Treat you differently from other tenants due to your participation in the HCV Program or any other legal source of income.

TENANT SCREENING

Owners are permitted and encouraged to screen families on the basis of their tenancy histories. An owner may consider a family's background with respect to such factors as:

- Payment of rent and utility bills
- Caring for a unit and premises
- Respecting the rights of others to the peaceful enjoyment of their housing
- Drug related criminal activity or other criminal activity that is a threat to the life, safety, or property of others.
- Compliance with other essential conditions of tenancy.

Owners **may not** deny a family's application for a rental unit based on a past legal conflict with a landlord, such as suing a previous landlord to make needed repairs. If a landlord rejects a family after using a tenant screening service report containing prior landlord-tenant cases or relies on review of those records themselves, the law assumes the family was rejected because of this history. The landlord may have to pay a fine between \$500 to \$1,000 to the State if they cannot give a good reason for the denial.

THE AGENCY MUST GIVE THE OWNER:

- The family's current address (as show in records).
- Name and address (if known) of the landlord at the family's current and prior addresses.
- Information about the tenancy history of family members.
- At the owner's request, information on drug-related criminal activity by family members, if available.

I/We have read and understand the ORHA HCV Program Owner Obligations, and I/we agree to abide by these obligations.

Owner

Date

Tenant

Date

Disclosure of Information on Lead-Based Paint and/or Lead-Based Paint Hazards

Lead Warning Statement

Housing built before 1978 may contain lead-based paint. Lead from paint, paint chips, and dust can pose health hazards if not managed properly. Lead exposure is especially harmful to young children and pregnant women. Before renting pre-1978 housing, landlords must disclose the presence of known lead-based paint and/or lead-based paint hazards in the dwelling. Tenants must also receive a federally approved pamphlet on lead poisoning prevention.

Housing Address

	Street Ad	dress and Unit #				
	City		State	Zip code		
	n dlord's D Presence		lead-based paint hazards (che	eck (i) or (ii) below):		
(i) Known lead-based paint and/or lead-based paint hazards are present in the (explain).						
	(ii)	_ Landlord has no knowled housing.	ge of lead-based paint and/or	lead-based paint hazards in the		
(b)	Records a	Records and reports available to the landlord (check (i) or (ii) below):				
	(i)		e tenant with all available reco based paint hazards in the hou	ords and reports pertaining to lead- using (list documents below).		
То		hazards in the housing.	or records pertaining to lead-b	ased paint and/or lead-based paint		
		nowledgment (initial)	ies of all information listed abo			
	Tenant has received copies of all information listed above. Tenant has received the pamphlet <i>Protect Your Family from Lead in Your Home</i> . (Provided by ORHA.)					
Ag	ent's Ackr	nowledgment (initial)				
(e)	Agent has informed the landlord of the landlord's obligations under 42 U.S.C. 4852d and is aware of his/her responsibility to ensure compliance.					
The	e following	of Accuracy parties have reviewed the in n they have provided is true		to the best of their knowledge, that		
Lan	dlord	Date	Landlord	Date		
Ten	ant	Date	Tenant	Date		

Agent